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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/603,524

06/25/2003

Neil M. Cowen

50,528A

25212 DOW AGROSCIENCES LLC 9330 ZIONSVILLE RD INDIANAPOLIS, IN 46268



CONFIRMATION NO. 4984
FORMALITIES LETTER
OC00000012058377

Date Mailed: 03/09/2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Applicant (s): Neil Cowen, et al

Serial No.: 10/603,524 Group Art Unit:

Issued: June 25, 2003 Examiner:

For: USE OF REGULATORY SEQUENCES IN TRANSGENIC PLANTS

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL WITH SUFFICIENT POSTAGE IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313 ON:

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Michele Lyons

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

SIGNATURE OF PERSON SIGNING CERTIFICATE

DATE OF SIGNATURE

Assistant Commissioner for Patents Alexandria, Virginia 22313

Sir:

RESPONSE

In response to the "Notice to Comply with Requirement for Patent Application Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" dated March 9, 2004, a copy of which is attached, enclosed is a <u>substitute</u> computer readable form (CRF) copy of the "Sequence Listing", <u>substitute</u> paper copy of the "Sequence Listing" and tated verifying sequence submission.

Please amend the specification by replacing the "Sequence Listing" with the attached <u>substitute</u> "Sequence Listing", which includes no new matter.

Respectfully submitted,

Ronald S. Maciak

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